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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/723,180

FISH & RICHARDSON P.C.

60 SOUTH SIXTH STREET MINNEAPOLIS, MN 55402

3300 DAIN RAUSCHER PLAZA

26191

11/25/2003

Vanda A. Lennon

07039-497001

CONFIRMATION NO. 6610

FORMALITIES LETTER

OC000000013490246*

Date Mailed: 08/10/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11 -10/19/2004-FFANAIA2 00000009 061050 10723180 J

FILED UNDER 37 CFR 1.53(b)

13.00 DA

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• Additional claim fees of \$129 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$579 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$129
 - \$129 for 3 independent claims over 3.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Attorney's Docket No.: 07039-497001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Vanda A. Lennon et al.

Art Unit : 1616

Serial No. :

10/723,180

Examiner: Unknown

Filed

: November 25, 2003

Title

: MARKER FOR NEUROMYELITIS OPTICA

MAIL STOP MISSING PARTS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts mailed August 10, 2004 (copy enclosed), Applicants, as a small entity, submits the following:

- Combined Declarations and Powers of Attorney in compliance with 37 CFR §1.63; 1.
- 2. A check in the amount of \$579 (\$385 for the basic filing fee, \$129 for the excess claim fees and \$65 for the late filing of the Declarations);
- 3. Preliminary Amendment (4 pages);
- Amended Figure 2 (3 pages); 4.
- 5. Paper copy of Sequence Listing (1 page);
- 6. Computer readable copy of Sequence Listing (1 disk); and
- Verified Statement (1 page). 7.

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Fish & Richardson P.C., P.A. 60 South Sixth Street

Suite 3300

Minneapolis, MN 55402 Telephone: (612) 335-5070 Facsimile: (612) 288-9696

60251235.doc

M. Angela Passons, Ph.D.

Reg. No. 44,282

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. October 12, 2004

Date of Deposit

Signatufe

Typed or Printed Name of Person Signing Certificate



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Vanda A. Lennon et al.

Art Unit: 1616

Serial No.: 10/723,180

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: November 25, 2003

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: MARKER FOR NEUROMYELITIS OPTICA

MAIL STOP MISSING PARTS

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL LETTER

The following documents relating to this application are enclosed.

- 1. Response to Notice to File Missing Parts (1 page);
- 2. Copy of Notice to File Missing Parts (2 pages);
- 3. Combined Declarations and Powers of Attorney (2 pages);
- 4. Preliminary Amendment (4 pages);
- 5. Amended Figure 2 (3 pages);
- 6. Paper copy of Sequence Listing (1 page);
- 7. Computer readable copy of the Sequence Listing (1 disk);
- 8. Verified Statement (1 page);
- 9. Check in the amount of \$579 (\$385 for the basic filing fee, \$129 for the excess claim fees, and \$65 for late filing of the Declarations; and
- 10. Postcard.

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: October 12, 2004

Fish & Richardson P.C., P.A.

60 South Sixth Street

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October 12, 2004
Date of Deposit

Signature (Jill Huso

Typed or Printed Name of Person Signing Certificate